

**NATIONAL VOLUNTARY FOREST CERTIFICATION SYSTEM  
TECHNICAL DOCUMENT**

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**REGULATORY AND PROCEDURAL BASE OF  
NATIONAL VOLUNTARY FOREST  
CERTIFICATION SYSTEM  
(Second edition)**



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## UA SFM TD 07 Regulatory and Procedural Base of National Voluntary Forest Certification System

Document Title	Document Name	Status	Entry into force Date	Review Date	Edition Number	Approved by	Established by	Replace	Annotation
<b>1 Documents NVFCS</b>									
<b>1.1 Guidance (GD)</b>									
UA SFM GD 01	Inventory Conduct Procedure for National Voluntary Forest Certification System	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This guide defines the requirements on data elements registered in Database Management System (DBMS) named «PEFC Information and Registration System» by Association National Voluntary Forest Certification System to ensure following the rules for the PEFC Registration System based on PEFC GD 1008 – PEFC Information and Registration System – Data Requirements by working with Database Management System (DBMS) named «PEFC Information and Registration System» and the requirements for Inventory of NVFCS

UA SFM GD 01: 2020 (Second edition)	Inventory Conduct Procedure for National Voluntary Forest Certification System	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM GD 01 Inventory Conduct Procedure for National Voluntary Forest Certification System on 01.07.2020	This guide defines the requirements on data elements registered in Database Management System (DBMS) named «PEFC Information and Registration System» by Association National Voluntary Forest Certification System to ensure following the rules for the PEFC Registration System based on PEFC GD 1008 – PEFC Information and Registration System – Data Requirements by working with Database Management System (DBMS) named «PEFC Information and Registration System» and the requirements for Inventory of NVFCS
-	System of interaction with the Stakeholders of the Association National Voluntary Forest Certification System	expired on 01.07.2020	16.12.2019		1		Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document contains principles and requirements to high-quality stakeholder engagement by National Voluntary Forest Certification System (hereinafter NVFCS)

UA SFM GD 02	Stakeholder Engagement of National Voluntary Forest Certification System	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	System of interaction with the Stakeholders of the Association National Voluntary Forest Certification on 16.12.2019	This document contains principles and requirements to high- quality stakeholder engagement by National Voluntary Forest Certification System (hereinafter NVFCS)
UA SFM GD 02: 2020 (Second edition)	Stakeholder Engagement of National Voluntary Forest Certification System	valid	01.03.2021	23.06.2022	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM GD 02 Stakeholder Engagement of National Voluntary Forest Certification System on 01.07.2020	This document contains principles and requirements to high- quality stakeholder engagement by National Voluntary Forest Certification System (hereinafter NVFCS)
<b>1.2 Standards (ST)</b>									
-	Procedure for Development, Approval, Updating of the Forest Management Standard.	expired on 01.07.2020	16.12.2019		1		Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This standard establishes the requirements for the development and approval of a Forest Management Standard and for its revision
UA SFM ST 01	Procedure for Development, Approval, Revision of the Forest Management Standard	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	Procedure for Development, Approval, Updating of the PEFC forest management standard in Ukraine on 16.12.2019	This standard establishes the requirements for the development and approval of a Forest Management Standard and for its revision

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UA SFM ST 01: 2020 (Second edition)	Procedure for Development, Approval, Revision of the Forest Management Standard	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM ST 01 Procedure for Development, Approval, Updating of the PEFC forest management standard in Ukraine on 01.07.2020	This standard establishes the requirements for the development and approval of a Forest Management Standard and for its revision
UA SFM ST 02	Sustainable Forest Management. General Provisions	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Working Group on the Development of Forest Management Standard		The standard establishes the basic provisions of sustainable forest management, intended to the ensuring of high productivity and stability of forests, conservation and restoration of forest biodiversity, minimization or elimination of negative effects of forestry production on the environment, sustainable forest use, economic efficiency of forestry production, its social function
UA SFM ST 02: 2020 (Second edition)	Sustainable Forest Management. General Provisions	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Working Group on the Development of Forest Management Standard	UA SFM ST 02 Sustainable Forest Management. General Provisions on 01.07.2020	The standard establishes the basic provisions of sustainable forest management, intended to the ensuring of high productivity and stability of forests, conservation and restoration of forest biodiversity, minimization or elimination of negative

									effects of forestry production on the environment, sustainable forest use, economic efficiency of forestry production, its social function
UA SFM ST 03	Group Forest Management. Requirements	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This standard defines general requirements for group forest certification, which allows for certification of a number of forest owners/forest users under a single certificate
UA SFM ST 03: 2020 (Second edition)	Group Forest Management. Requirements	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM ST 03 Group Forest Management. Requirements on 01.07.2020	This standard defines general requirements for group forest certification, which allows for certification of a number of forest owners/forest users under a single certificate
UA SFM ST 04	Procedure for Managing Complaints and Appeals	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This standard establishes the procedure for filing complaints and appeals by any NVFCS member, their timely, independent and efficient investigation and preparation of the decision by the Complaint and Appeal Committee

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UA SFM ST 04: 2020 (Second edition)	Procedure for Managing Complaints and Appeals	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM ST 04 Procedure for Managing Complaints and Appeals on 01.07.2020	This standard establishes the procedure for filing complaints and appeals by any NVFCS member, their timely, independent and efficient investigation and preparation of the decision by the Complaint and Appeal Committee
UA SFM ST 05	Requirements for Certification Bodies operating Certification against the Forest Management Standard	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This standard establishes the requirements for the certification bodies conducting audit and certification of forest management in accordance with the standards UA SFM ST 02 Sustainable Forest Management. General Provisions and UA SFM ST 03 Group Forest Management. Requirements
UA SFM ST 05: 2020 (Second edition)	Requirements for Certification Bodies operating Certification against the Forest Management Standard	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM ST 05 Requirements for Certification Bodies operating Certification against the Forest Management Standard on 01.07.2020	This standard establishes the requirements for the certification bodies conducting audit and certification of forest management in accordance with the standards UA SFM ST 02 Sustainable Forest Management. General Provisions and UA SFM ST 03 Group Forest Management. Requirements



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UA SFM ST 06	Procedure for Notification of Certification Bodies in National Voluntary Forest Certification System	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This standard establishes the rules and procedure for PEFC Notification to certification bodies, which will be additionally empowered to carry out forest management and chain of custody certification within the National Voluntary Forest Certification System (hereinafter referred to as NVFCS)
UA SFM ST 06: 2020 (Second edition)	Procedure for Notification of Certification Bodies in National Voluntary Forest Certification System	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM ST 06 Procedure for Notification of Certification Bodies in National Voluntary Forest Certification System on 01.07.2020	This standard establishes the rules and procedure for PEFC Notification to certification bodies, which will be additionally empowered to carry out forest management and chain of custody certification within the National Voluntary Forest Certification System (hereinafter referred to as NVFCS)
UA SFM ST 07	Procedure for Attestation of the Auditors	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This standard sets objectives, rules and procedure for training and attestation of auditors of National Voluntary Forest Certification System (hereinafter referred to as NVFCS)

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UA SFM ST 07: 2020 (Second edition)	Procedure for Attestation of the Auditors	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM ST 07 Procedure for Attestation of the Auditors on 01.07.2020	This standard sets objectives, rules and procedure for training and attestation of auditors of National Voluntary Forest Certification System (hereinafter referred to as NVFCS)
PEFC ST 2001	PEFC Trademarks Rules – Requirements	valid	Adopted by TWG on 10.03.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	PEFC International		Covers the requirements for users of the PEFC trademarks to ensure accurate, verifiable, relevant and non-misleading usage of the PEFC logo, the PEFC initials, related claims and/or declarations
PEFC ST 2002	Chain of custody of forest and tree based products – Requirements	valid	Adopted by TWG on 10.03.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	PEFC International		Covers the requirements that need to be met by an organisation in order to successfully implement a chain of custody for forest and tree based products, and to make PEFC claims to customers on the origin of forest and tree based products in sustainably managed forests, recycled material and PEFC controlled sources

PEFC ST 2003	Requirements for Certification Bodies operating Certification against the PEFC International Chain of Custody Standard	valid	Adopted by TWG on 10.03.2020			Council of the Association National Voluntary Forest Certification System on 24.06.2020	PEFC International		This document provides additional scheme specific requirements for certification bodies operating chain of custody certification against PEFC ST 2002
<b>1.3 Technical Documentation (TD)</b>									
UA SFM TD 01	Regulations for National Voluntary Forest Certification System	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		These Regulations specify the purpose, principles, structure and rules of National Voluntary Forest Certification System (hereinafter referred to as NVFCS) formed by the Association National Voluntary Forest Certification System (hereinafter referred to as the Association NVFCS). NVFCS was developed with using the principles, criteria and regulations harmonized with the Programme for the Endorsement of Forest Certification schemes (hereinafter referred to as PEFC)

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UA SFM TD 01: 2020 (Second edition)	Regulations for National Voluntary Forest Certification System	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 01 Regulations for National Voluntary Forest Certification System on 01.07.2020	These Regulations specify the purpose, principles, structure and rules of National Voluntary Forest Certification System (hereinafter referred to as NVFCS) formed by the Association National Voluntary Forest Certification System (hereinafter referred to as the Association NVFCS). NVFCS was developed with using the principles, criteria and regulations harmonized with the Programme for the Endorsement of Forest Certification schemes (hereinafter referred to as PEFC)
UA SFM TD 02	Regulations for the Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document establishes the rules of operation of the Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System

UA SFM TD 02: 2020 (Second edition)	Regulations for the Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 02 Regulations for the Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System on 01.07.2020	This document establishes the rules of operation of the Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System
-	Regulations for the Working Group on the Development of Forest Management Standard	expired on 01.07.2020	16.12.2019		1		Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document establishes the rules of operation of the Working Group (WG) of the National Voluntary Forest Certification System Association (Association) for the development of forest management standard
UA SFM TD 03	Regulations for the Working Group on the Development of Forest Management Standard	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	Regulations for the Working Group on the Development of Forest Management Standard on 16.12.2019	This document establishes the rules of operation of the Working Group (WG) of the National Voluntary Forest Certification System Association (Association) for the development of forest management standard

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UA SFM TD 03: 2020 (Second edition)	Regulations for the Working Group on the Development of Forest Management Standard	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 03 Regulations for the Working Group on the Development of Forest Management Standard on 01.07.2020	This document establishes the rules of operation of the Working Group (WG) of the National Voluntary Forest Certification System Association (Association) for the development of forest management standard
UA SFM TD 04	Regulations for the Center of Preparation and Attestation of Experts-Auditors	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document establishes the rules of operation of Center of Preparation and Attestation of Experts – Auditors
UA SFM TD 04: 2020 (Second edition)	Regulations for the Center of Preparation and Attestation of Experts-Auditors	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 04 Regulations for the Center of Preparation and Attestation of Experts-Auditors on 01.07.2020	This document establishes the rules of operation of Center of Preparation and Attestation of Experts – Auditors
UA SFM TD 05	Regulations for Complain and Appeal Committee	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document establishes the rules of operation of the Complain and Appeal Committee

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UA SFM TD 05: 2020 (Second edition)	Regulations for Complain and Appeal Committee	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 05 Regulations for Complain and Appeal Committee on 01.07.2020	This document establishes the rules of operation of the Complain and Appeal Committee
-	List of Stakeholders	expired on 01.07.2020	16.12.2019		1		Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document contents List of Stakeholders
UA SFM TD 06	List of Stakeholders	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	List of Stakeholders on 16.12.2019	This document contents List of Stakeholders
UA SFM TD 06: 2020 (Second edition)	List of Stakeholders	valid	01.03.2021	23.06.2022	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 06 List of Stakeholders on 01.07.2020	This document contents List of Stakeholders

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UA SFM TD 07	Regulatory and Procedural Base of National Voluntary Forest Certification System	expired on 01.03.2021	01.07.2020		1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		Regulatory and Procedural Base of National Voluntary Forest Certification System
UA SFM TD 07: 2020 (Second edition)	Regulatory and Procedural Base of National Voluntary Forest Certification System	valid	01.03.2021	23.06.2025	2	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System	UA SFM TD 07 Regulatory and Procedural Base of National Voluntary Forest Certification System on 01.07.2020	Regulatory and Procedural Base of National Voluntary Forest Certification System
UA SFM TD 08	Issuance of PEFC Logo usage licences	valid	01.07.2020	23.06.2025	1	Council of the Association National Voluntary Forest Certification System on 24.06.2020	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		This document establishes the rules and requirements for PEFC Logo License Issuance
UA SFM TD 09: 2021	List of WHO Class 1A and 1B pesticides, which are allowed for using in forest management of Ukraine in exceptional cases	valid	01.03.2021	24.02.2026	1	Council of the Association National Voluntary Forest Certification System on 25.02.2021	Temporary Working Group on the Development and Implementation of National Voluntary Forest Certification System		Contains a list of WHO Class 1A and 1B pesticides, which are allowed for using in forest management of Ukraine in exceptional cases



